



Atty. Dkt. No. 016906-0463 *JFu*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peter GESKES et al.

Title: HEAT EXCHANGER AND METHOD FOR THE PRODUCTION THEREOF

Appl. No.: 10/566,053

International Filing Date: 07/29/2004

Filing Date:

371(c) Date: 08/28/06

Examiner: Allen J. Flanigan

Art Unit: 3744

Confirmation Number:

7572

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed document is being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

The document is in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date July 30, 2008

By P.D.S.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>Date Submitted: July 30, 2008</p> <p>(use as many sheets as necessary)</p>				<p><b>Complete if Known</b></p> <table border="1"> <tr> <td><b>Application Number</b></td> <td>10/566,053</td> </tr> <tr> <td><b>Filing Date</b></td> <td>08-28-2006</td> </tr> <tr> <td><b>First Named Inventor</b></td> <td>Peter GESKES</td> </tr> <tr> <td><b>Art Unit</b></td> <td>3744</td> </tr> <tr> <td><b>Examiner Name</b></td> <td>Allen J. Flanigan</td> </tr> <tr> <td><b>Attorney Docket Number</b></td> <td>016906-0463</td> </tr> </table>	<b>Application Number</b>	10/566,053	<b>Filing Date</b>	08-28-2006	<b>First Named Inventor</b>	Peter GESKES	<b>Art Unit</b>	3744	<b>Examiner Name</b>	Allen J. Flanigan	<b>Attorney Docket Number</b>	016906-0463
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## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
/A.F./	A1	GB 1 468 514	03-30-1977	A.P.V. COMPANY LIMITED	

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Allen Flanigan/	Date Considered	09/15/2011
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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